Amyotrophic Lateral Sclerosis and Frontotemporal Degeneration, 2022; 0: 1-10



## **RESEARCH ARTICLE**

# Remote digital assessment of amyotrophic lateral sclerosis functional rating scale – a multicenter observational study

THOMAS MEYER<sup>1,2</sup>, SUSANNE SPITTEL<sup>1,2\*</sup>, TORSTEN GREHL<sup>3</sup>, UTE WEYEN<sup>4</sup>, ROBERT STEINBACH<sup>5</sup>, DAGMAR KETTEMANN<sup>1</sup>, SUSANNE PETRI<sup>6</sup>, PATRICK WEYDT<sup>7</sup>, RENÉ GÜNTHER<sup>8,9</sup>, PETRA BAUM<sup>10</sup>, ELENA SCHLAPAKOW<sup>11</sup>, JAN CHRISTOPH KOCH<sup>12</sup>, MATTHIAS BOENTERT<sup>13</sup>, JOACHIM WOLF<sup>14</sup>, JULIAN GROSSKREUTZ<sup>15</sup>, ANNEKATHRIN RÖDIGER<sup>5</sup>, BENJAMIN ILSE<sup>5</sup>, MORITZ METELMANN<sup>10</sup>, JENNY NORDEN<sup>1</sup>, RUHAN YASEMIN KOC<sup>1</sup>, PÉTER KÖRTVÉLYESSY<sup>1</sup>, ALESSIO RIITANO<sup>1</sup>, BERTRAM WALTER<sup>1</sup>, BARBARA HILDEBRANDT<sup>2</sup>, FRIEDRICH SCHAUDINN<sup>2</sup>, CHRISTOPH MÜNCH<sup>1,2</sup> & ANDRÉ MAIER<sup>1</sup>

<sup>1</sup>Department of Neurology, Center for ALS and other Motor Neuron Disorders, Charité – Universitätsmedizin Berlin, Corporate Member of Freie Universität Berlin, Humboldt-Universität zu Berlin, and Berlin Institute of Health, Berlin, Germany, <sup>2</sup>Ambulanzpartner Soziotechnologie APST GmbH, Berlin, Germany, <sup>3</sup>Department of Neurology, Center for ALS and other Motor Neuron Disorders, Alfried Krupp Krankenhaus, Essen, Germany, <sup>4</sup>Department of Neurology, Center for ALS and other Motor Neuron Disorders, Berufsgenossenschaftliches Universitätsklinikum Bergmannsheil, Bochum, Germany, <sup>5</sup>Hans Berger Department of Neurology, Jena University Hospital, Jena, Germany, <sup>6</sup>Department of Neurology, Hannover Medical School, Hannover, Germany, <sup>7</sup>Department for Neurolegenerative Disorders and Gerontopsychiatry, Bonn University, Bonn, Germany, <sup>8</sup>Department of Neurology, University Hospital Carl Gustav Carus, Technische Universität Dresden, Dresden, Germany, <sup>9</sup>DZNE, German Center for Neurodegenerative Diseases, Research Site Dresden, Dresden, Germany, <sup>10</sup>Department of Neurology, Universitätsklinikum Leipzig, Leipzig, Germany, <sup>11</sup>Department of Neurology, Universitätsklinikum Halle, Halle (Saale), Germany, <sup>12</sup>Department of Neurology, Universitätsmedizin Göttingen, Göttingen, Germany, <sup>14</sup>Department of Neurology, Diako Mannheim, Mannheim, Germany, <sup>15</sup>Precision Neurology, Universitätsklinikum Schleswig-Holstein, Lübeck, Germany

#### Abstract

*Objective:* Remote self-assessment of the revised amyotrophic lateral sclerosis functional rating scale (ALSFRS-R) using digital data capture was investigated for its feasibility as an add-on to ALSFRS-R assessments during multidisciplinary clinic visits. *Methods:* From August 2017 to December 2021, at 12 ALS centers in Germany, an observational study on remote assessment of the ALSFRS-R was performed. In addition to the assessment of ALSFRS-R during clinic visits, patients were offered a digital self-assessment of the ALSFRS-R – either on a computer or on a mobile application ("ALS-App"). *Results:* An estimated multicenter cohort of 4,670 ALS patients received care at participating ALS centers. Of these patients, 971 remotely submitted the ALSFRS-R, representing 21% of the multicenter cohort. Of those who opted for remote assessment, 53.7% (n=521) completed a minimum of 4 ALSFRS-R per year with a mean number of 10.9 assessments per year. Different assessment frequencies were found for patients using a computer (7.9 per year, n=857) and mobile app (14.6 per year, n=234). Patients doing remote assessments were more likely to be male and less functionally impaired but many patients with severe disability managed to complete it themselves or with a caregiver (35% of remote ALSFRS-R cohort in King's Stage 4). *Conclusions*: In a dedicated ALS center setting remote digital self-assessment of ALSFRS-R can provide substantial data which is complementary and potentially an alternative to clinic

ISSN 2167-8421 print/ISSN 2167-9223 online © 2022 The Author(s). Published by Informa UK Limited, trading as Taylor & Francis Group. This is an Open Access article distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivatives License (http:// creativecommons.org/licenses/by-nc-nd/4.0/), which permits non-commercial re-use, distribution, and reproduction in any medium, provided the original work is properly cited, and is not altered, transformed, or built upon in any way. DOI: 10.1080/21678421.2022.2104649

Correspondence: Thomas Meyer, Charité – Universitätsmedizin Berlin, Center for ALS and other Motor Neuron Disorders, Augustenburger Platz 1, Berlin, 13353 Germany. Phone: +49 1773224513. E-mail: thomas.meyer@charite.de

<sup>\*</sup>Contributed equally.

U Supplementary data for this article is available online at https://doi.org/10.1080/21678421.2022.2104649.

<sup>(</sup>Received 24 January 2022; revised 11 July 2022; accepted 18 July 2022)

assessments and could be used for research purposes and person-level patient management. Addressing barriers relating to patient uptake and adherence are key to its success.

**Keywords:** Amyotrophic lateral sclerosis, amyotrophic lateral sclerosis functional rating scale-revised, self-assessment, ALS-App, remote assessment

#### Introduction

The ALS Functional Rating Scale (ALSFRS) in its revised version (ALSFRS-R) is a disease-specific severity score reflecting the course of ALS (1,2). The scale encompasses a 12-item disease-specific instrument for the assessment of bulbar symptoms, limb and trunk functions, respiratory symptoms and the need of ALS-related interventions such as percutaneous endoscopic gastrostomy, noninvasive ventilation or tracheostomy with invasive ventilation (3). The scale was primarily developed as an outcome parameter in clinical trials but evolved to the most widely applied rating scale in both clinical practice and ALS research (4,5).

As the assessment of the ALSFRS-R does not rely upon physical examinations, the scale can be reliably administered over the telephone (6) or online (7–10). Although the ALSFRS-R was originally designed for assessment by healthcare professionals, the scale has been applied to administration by patients and caregivers (11). Previously, a remarkable inter-rater and intra-rater reproducibility of remote self-assessment of the ALSFRS-R has been shown and paved the way for its use in apps and online platforms (4,7,12–15). Capturing the ALSFRS-R using computer or mobile internet applications has the potential for assessing disease progression that is complementary to on-site consultations, allowing a digitally enhanced patient management (8-10). Furthermore, remote self-assessment may increase the efficiency of clinical studies if the rating of ALSFRS-R is moved from telephone to digital capture (16, 17).

Although the reliability of online assessment has been demonstrated, few "real-world" experiences of digital applications - being integrated in the multidisciplinary care - have been investigated so far. Here, we report the observation of remote self-assessment of ALSFRS-R by using a computer (Co-ALSFRS-R) or a mobile application (App-ALSFRS-R). The offering of remote self-assessment in parallel, and in addition to, assessment of ALSFRS-R, which is obtained during clinic visits (Clinic-ALSFRS-R), may be conceived as an additional burden from a patient's and relative's perspective. Furthermore, the use of digital applications might pose a physical barrier for self-rating in contrast to a traditional face-toface interview situation. Therefore, we systematically investigated the metrics of remote digital assessment of ALSFRS-R alongside with multidisciplinary care. The aims of the present study were to (i) identify the readiness of patients to remotely perform ALSFRS-R

assessments using digital data capture; (ii) rank the frequency of use of a computer and mobile applications; (iii) assign demographic and clinical profiles to the cohorts of digital data capture, and (iv) determine the level of disease severity to which remote self-assessment may be expected.

#### Methods

#### Study design

The observational study was conducted as a prospective, multicenter, cross-sectional cohort study. The cohorts were defined in Figure 1. The investigation was reported according to the STROBE criteria (18,19). The study was conducted from August 2017 to December 2021.

#### Participants

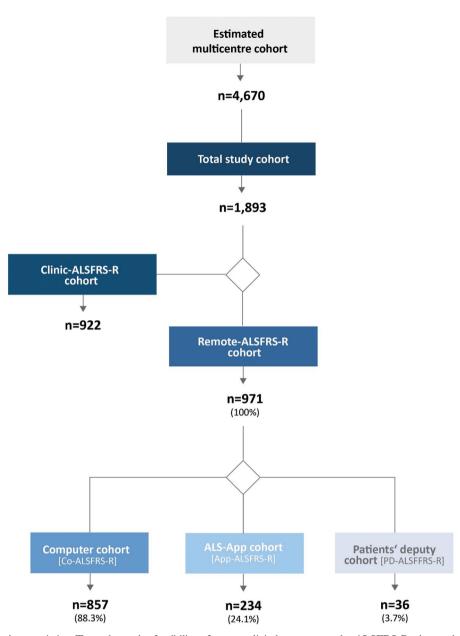
The participants met the following inclusion criteria: 1) diagnosis ALS according to the revised El Escorial criteria (20); 2) consent to electronic data capture using the research platform "APST"; and 3) optional offering of remote digital assessments using a computer or a mobile application in terms of the "ALS-App".

#### Setting

**Participating study centers.** The multicenter cohort encompassed patients at 12 multidisciplinary ALS centers in Germany (Supplement 1). The initiation of study centers was evolving from a smaller number of participating centers to the complete set of contributing study sites.

Analysis of existing data for clinical assessment of ALSFRS-R. In ALS patients at multidisciplinary ALS centers, the assessment of ALSFRS-R was part of the multidisciplinary care. ALSFRS-R data (and clinical data) as obtained during the regular visits served as source data for this study (secondary use of existing data for research purposes). As these data were assessed during clinic visits, these ratings were classified Clinic-ALSFRS-R data.

**Recruitment for remote digital assessment of ALSFRS-R.** In addition to the secondary use of existing Clinic-ALSFRS-R data, patients were approached by a trained neurologist and offered a remote digital assessment of the ALSFRS-R (Remote-ALSFRS-R) either on a desktop or laptop computer (Co-ALSFRS-R) or on a mobile



**Figure 1.** Sample characteristics. To evaluate the feasibility of remote digital assessment the ALSFRS-R, the total number of patients receiving treatment at the participating ALS centers was of interest. Therefore, an estimate of these patients was made – at the beginning of the observation, added by the patients who annually entered treatment at these centers (estimated multicenter cohort). Only a subpopulation of the multicenter cohort fulfilled the inclusion criteria (below) and contributed to this observational study (total study cohort). Among the total study cohort, two main sub-cohorts emerged: The first cohort included patients who allowed the secondary use of the existing data of clinical assessment of the ALSFRS-R (Clinic-ALSFRS-R cohort) but did not complete a rating via a computer or the mobile app. For this cohort, demographic and clinical data were collected and the Clinic-ALSFRS-R data were analyzed. The second main sub-cohort included patients who performed a remote digital assessment (Remote-ALSFRS-R cohort), either on a computer (Co-ALSFRS-R cohort) or on a mobile application (App-ALSFRS-R cohort), or on both. In a distinct cohort, other persons acted as patients' deputy and realized the rating (PD-ALSFRS-R). Within the PD-ALSFRS-R cohort, no distinction was made between computer or mobile app use. Therefore, preferences of relatives regarding computer or app use were not analyzed. n: number of patients.

application, which may be used on smartphones or tablet devices (App-ALSFRS-R).

#### Data collection

**Collection during clinic visit.** Clinic-ALSFRS-R data were obtained during each regular clinic visit by a certified evaluator. The evaluators consisted of neurologists, study nurses and coordinators who

were all trained in ALSFRS-R assessment. Demographic and clinical data as well as Clinic-ALSFRS-R data were captured in the electronic medical records of the respective participating multidisciplinary center.

**Remote collection.** Remote-ALSFRS-R data were collected on a web-based APST platform. The APST portal encompassed an electronic health

record and a digital management platform which has been described elsewhere (21–23). The platform provided the multi-step workflow for the selfassessment procedure of ALSFRS-R, optimized for the patient's account on a laptop or desktop computer (Co-ALSFRS-R). Alternatively, the ALS-App featured a dropdown questionnaire of ALSFRS-R, optimized for smartphones and tablet devices (App-ALSFRS-R, Supplement 2).

**Instructions for remote self-assessment.** After obtaining informed consent, patients received an activation link being valid for the digital data capture. A printed manual with instructions on how to perform the self-assessment on the computer (Co-ALSFRS-R) or a mobile application (App-ALSFRS-R) was handed out. Patients were invited to download the "ALS-App" being available at the App Store (for iOS) or Google Play (for Android, Supplement 3). Furthermore, patients were referred to an explanatory video for each of the two modes of remote digital self-rating. For technical questions, a telephone helpline and email contact were provided. All patients assigned for the self-rating program were requested to digitally complete the

Table 1. Demographic and clinical characteristics of participants.

ALSFRS-R at least every three months. An email reminder was sent accordingly. Participants not physically able to perform the self-rating, were authorized to nominate a patient's deputy (PD) for the assessment of ALSFRS-R (PD-ALSFRS-R). The nominated deputy was provided with contact information (telephone helpline and email support), individual login data and, by that means, with a personalized access to the patient's account of both, the computer or mobile application.

#### Protocol approvals and registrations

The study protocol was approved by the Medical Ethics Committee of Charité – Universitätsmedizin Berlin, Germany under number EA1/219/15. A signed patient information and informed consent form was obtained from all the participating patients.

#### Variables

**Demographic and clinical characteristics.** The following demographic and clinical characteristics were collected: age, sex, time since onset of symptoms, King's stage of ALS (24) and disease progression (Table 1).

| Characteristics                    | Classification  | Total cohort, $n = 1,893$ | Clinic-ALSFRS-R cohort <sup>a</sup> , $n = 922$ | Remote-ALSFRS-R cohort <sup>b</sup> , $n = 971$ | p-value <sup>c</sup> |
|------------------------------------|---|---------------------------|---|---|----------------------|
| Sex                                | female, % (n)   | 39.2 (743)                | 42.6 (393)                                      | 36.0 (350)                                      | 0.004                |
|                                    | male, % (n)   | 60.8 (1,150)              | 57.4 (529)                                      | 64.0 (621)                                      |                      |
| Age                                | at onset, years,<br>mean (SD, R)                          | 60.1 (12.1, 18.2–88.1)    | 61.2 (12.6, 18.2-88.1)                          | 58.6 (11.1, 25.4–87.3)                          | < 0.001              |
|                                    | at time of first<br>assessment,<br>years, mean<br>(SD, R) | 62.8 (11.5, 19.7–90.2)    | 64.0 (12.4, 19.7-90.2)                          | 61.7 (10.5, 26.7–88.2)                          | <0.001               |
|                                    | at time of last<br>assessment,<br>years, mean<br>(SD, R)  | 64.5 (11.4, 20.2–95.8)    | 66.6 (12.0, 20.2-95.8)                          | 62.5 (10.5, 27.0-88.2)                          | <0.001               |
| Disease duration                   | at time of last<br>assessment,<br>months, mean<br>(SD, R) | 53.5 (52.3, 2–472)        | 61.9 (58.5, 2-472)                              | 41.9 (39.5, 2–240)                              | <0.001               |
| Disease progression                | mean (SD, R)  | 0.69 (0.64, 0.01 - 6.00)  | 0.64 (0.62, 0.01-6.00)                          | 0.74 (0.66, 0.01-4.75)                          | 0.007                |
| King's clinical stage <sup>d</sup> | Stage 1, % (n)  | 12.1 (229)                | 10.7 (99)                                       | 13.4 (130)                                      | 0.234                |
| 3                                  | Stage 2, % (n)  | 20.6 (390)                | 20.6 (190)                                      | 20.6 (200)                                      |                      |
|                                    | Stage 3, % (n)  | 35.1 (665)                | 36.0 (332)                                      | 34.3 (333)                                      |                      |
|                                    | Stage 4, % (n)  | 32.3 (609)                | 32.6 (301)                                      | 31.7 (308)                                      |                      |

<sup>a</sup>Encompasses patients with assessment of the ALSFRS-R during clinic visits (Clinic-ALSFRS-R).

<sup>b</sup>Encompasses patients with remote digital assessment of the ALSFRS-R (Remote-ALSFRS-R cohort). Remote assessment of the ALSFRS-R was performed using a computer (Co-ALSFRS-R) or the mobile "ALS-App" (App-ALSFRS-R).

<sup>c</sup>Difference in frequencies between different groups were assessed by Chi-squared test or Mann-Whitney U test and between-metric data by t-test, a p value <0.05 was considered significant. Significant differences were compared between the Clinic-ALSFRS-R cohort and Remote-ALSFRS-R cohort.

<sup>d</sup>Four cohorts were differentiated according to King's clinical stage that was reached by any given patient. King's clinical stage 1 translated to the involvement of one clinical region. Stage 2 and 3 was reached with the involvement of a second and third clinical region, respectively. Stage 4 referred to nutritional or respiratory failure, as defined by the use of percutaneous endoscopic gastrostomy (PEG) or ventilation therapy (noninvasive or invasive), respectively.(24).

Abbreviations: n: number of participants; SD: standard deviation; R: range; ALSFRS-R: Amyotrophic Lateral Sclerosis Functional Rating Scale Revised.

ALSFRS-R. The ALSFRS-R was analyzed for all cohorts of this study (1,25).

Number of patients with ALSFRS-R assessments. The number of patients with completed assessments of ALSFRS-R was obtained in the cohorts of Clinic-ALSFRS-R, Co-ALSFRS-R, App-ALSFRS-R and PD-ALSFRS-R. The combined values of Co-ALSFRS-R, App-ALSFRS-R and PD-ALSFRS-R were classified as "Remote-ALSFRS-R" (Table 2).

Number and frequency of ALSFRS-R assessments. The total number and frequency of assessments of ALSFRS-R were obtained in the cohorts of Clinic-ALSFRS-R, Co-ALSFRS-R, App-ALSFRS-R, and PD-ALSFRS-R (Table 2).

**Total ALSFRS-R score.** The total score of ALSFRS-R was identified in the cohorts of Clinic-ALSFRS-R, Co-ALSFRS-R and App-ALSFRS-R and shown for the first and last assessment of the observation interval (Table 3).

#### Statistical methods

Descriptive statistics were used for the statistical analysis (frequency in percent, mean, median, standard deviation in  $\pm$ , and ranges). Differences in frequencies between groups were assessed by Chi-square test or Mann-Whitney U test and between metric data by t-test as appropriate. P values were reported at a 95% confidence interval. The data were analyzed using SPSS (version 27.0).

### Results

#### Number of patients in cohorts

The multicenter cohort encompassed 4,670 ALS patients being treated at the participating ALS centers. The total study cohort included 1,893 patients with available data for Clinic-ALSFRS-R or Remote-ALSFRS-R, or both. The Clinic-ALSFRS-R cohort included 922 patients, whereas the Remote-ALSFRS-R cohort (remote and/or clinic assessment) included 971 patients (Figure 1, Table 1). Remote assessments were mostly performed on a laptop or desktop computer (n = 857, 88.3% of Remote-ALSFRS-R cohort). However, a cohort of 234 patients (24.1% of Remote-ALSFRS-R cohort) opted for the mobile application. In a small group of 36 patients (3.7% of Remote-ALSFRS-R cohort), relatives or acquaintances being authorized as patient's deputy realized the remote digital assessment (PD-ALSFRS-R, Table 2).

Table 2. Number of patients with assessments and assessments per patient per year.

|  | Total number of<br>assessments of<br>ALSFRS-R<br>n | Number of patients<br>with ALSFRS-R<br>assessments<br>n (%) | Number of patients with<br>at least two ALSFRS-R<br>assessments<br>n (%) | Number of<br>patients with at least four ALSFRS-R<br>assessments per year<br>n (%)                         | Observation period in<br>ycars <sup>a</sup><br>Mean (SD, R) | Frequency of ALSFRS-R<br>assessments per<br>atient per year <sup>a</sup><br>Mean (SD, R) |
|--|--|---|--|--|---|--|
| Clinic-ALSFRS-R  | 4,626 (50.7)                                       | 922 (48.5)  | 648 (70.3)   | 430~(46.6)   | $1.4 \ (0.9, \ 0.1 - 3.3)$                                  | 4.1(2.4, 1.3-24.0)   |
| Remote-ALSFRS-R  | 4,506(49.3)  | 971 (51.3)  | 620 (63.8)   | 521 (53.7)   | $1.1 \ (1.0, \ 0.1 - 4.3)$                                  | 9.6(8.3, 0.8-96.0)   |
| Co-ALSFRS-R  | 3,288 $(36.0)$                                     | 857 (45.3)  | 502 (58.6)   | 407 (47.5)   | $1.1 \ (0.9, \ 0.1 - 4.3)$                                  | 7.9(7.6, 0.8-96.0)   |
| App-ALSFRS-R   | 1,127 $(12.3)$                                     | 234 (12.4)  | 147 (62.8)   | 139(59.4)  | $0.6\ (0.4,\ 0.1-1.5)$                                      | 14.6(9.0, 2.2-48.0)  |
| PD-ALSFRS-R  | 91 (1.0)   | 36 (1.9)  | 17 (47.2)  | 12 (33.3)  | 0.8 (0.9, 0.1 - 2.9)  | $10.7 \ (9.9, \ 1.6-36.0)$   |
| Total  | 9,132 (100)  | 1,893 $(100)$   | 1,268 (67.0)   | 951 (50.2)   | $1.2 \ (0.7, \ 0.1-4.3)$                                    | $6.8 \ (6.7, \ 0.8-96.0)$  |
| The assessment periods differed between studied cohorts: Clinic-ALSFRS-R | red between studied c                              | ohorts: Clinic-ALSFRS-I                                     | R from August 2017 to Dece   | from August 2017 to December 2020; Remote-ALSFRS-R: August 2017 to December 2021, Co-ALSFRS-R: August 2017 | 017 to December 2021, C                                     | o-ALSFRS-R: August 2017  |

to December 2021; App-ALSFRS-R: May 2020 to December 2021, PD-ALSFRS-R: August 2017 to November 2021.

digital remote Abbreviations: n: number of patients; ALSFRS-R: ALS Functional Ratings Scale Revised; Clinic-ALSFRS-R: assessment of ALSFRS-R during clinic visits; Remote-ALSFRS-R: range. assessment of the ALSFRS-R; Co-ALSFRS-R: computer ALSFRS-R; PD-ALSFRS-R: patients' deputy ALSFRS-R; SD: standard deviation; R: assessments were included patients with at least two

| Table 3. Results of ALSFRS-R ratings in relation to type of asses | sment. |
|---|--------|
|---|--------|

|                 | Number of patients with<br>ALSFRS-R assessments <sup>a</sup> ,<br>n (%) | ALSFRS-R score of first<br>assessment <sup>a</sup> ,<br>mean (SD, R) | ALSFRS-R score of last<br>assessment <sup>a</sup> ,<br>mean (SD, R) |
|-----------------|---|--|---|
| Clinic-ALSFRS-R | 648 (51.1)  | 31.7 (12.0, 0–48)  | 25.2 (11.9, 0-48)   |
| Remote-ALSFRS-R | 620 (48.9)  | 36.2 (8.6, 1–48)   | 27.7 (10.5, 0-47)   |
| Co-ALSFRS-R     | 502 (39.6)  | 36.2 (8.5, 1–48)   | 27.8 (10.5, 0-48)   |
| App-ALSFRS-R    | 147 (11.6)  | 35.9 (8.2, 3-48)   | 30.5 (9.8, 4–47)  |
| PD-ALSFRS-R     | 17 (1.9)  | 30.8 (10.2, 14–45)   | 20.4 (8.3, 6-38)  |

The assessment periods differed between studied cohorts: Clinic-ALSFRS-R from August 2017 to December 2020; Remote-ALSFRS-R: August 2017 to December 2021, Co-ALSFRS-R: August 2017 to December 2021; App-ALSFRS-R: May 2020 to December 2021, PD-ALSFRS-R: August 2017 to November 2021.

Abbreviations: n: number of patients; ALSFRS-R: ALS Functional Ratings Scale Revised; Clinic-ALSFRS-R: assessment of ALSFRS-R during clinic visits; Remote-ALSFRS-R: remote digital assessment of the ALSFRS-R; Co-ALSFRS-R: computer ALSFRS-R; PD-ALSFRS-R: Patients' deputy ALSFRS-R; SD: standard deviation; R: range. <sup>a</sup>Patients with at least two assessments were included.

Demographic and clinical characteristics

An overview of the demographic and clinical characteristics is provided in Table 1.

#### Number of assessments of ALSFRS-R

During the observation period of 53 months, 9,132 ALSFRS-R assessments were registered. In addition to secondary use of existing Clinic-ALSFRS-R data, 4,506 remote assessments were obtained (Table 2). The number of patients with any number of assessments and with at least 2 or 4 assessments is presented in Table 2.

#### Frequency of ALSFRS-R assessments per patient

The frequency of Remote-ALSFRS-R assessments (9.6 per year) outperformed Clinic-ALSFRS-R assessments (4.1 per year). The increased frequency of remote ratings was driven by the mobile app (14.6 per year) whereas the data capture using a computer was less frequent (7.9 per year; Table 2, Figure 2).

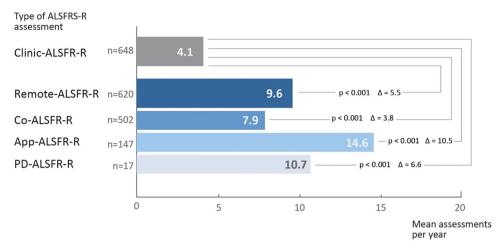
# Functional impairment as measured by the total score of ALSFRS-R

Patients of the Remote-ALSFRS-R cohort were comparably less functionally impaired (Table 3). A difference of more than 5 score points was identified for mobile app users as compared to the Clinic-ALSFRS-R cohort (Table 3). In contrast, remote ratings being performed by patients' deputies showed a substantially lower functional score (20.4 mean PD-ALSFRS-R) indicating an improved access to patients in more advanced disease stages (Table 3).

#### Discussion

The technological and methodological basis for remote digital assessment of ALSFRS-R has been founded for some years. Several controlled studies have demonstrated that on-site and online assessment are identical or at least strongly correlated (7,15,16). In this study, a further step was made when applying remote digital assessment of ALSFRS-R parallel and in addition to multidisciplinary care in dedicated ALS centers in Germany. 21% of patients at the participating ALS centers performed digital assessments and provided by that means an encouraging experience of remote ALSFRS-R rating.

However, the findings of this study must be considered in the context of their limitations. These limitations rooted at various levels of a multi-step recruitment process. The first step was the treatment of all participating patients in a specialized ALS center (multicenter cohort). The second step was the fulfillment of inclusion criteria and recruitment in this observational study of ALSFRS-R assessment - independent of the mode of assessment (total study cohort). The third ramification referred to the actual proposition of performing remote digital assessment. The fourth step encompassed to overcoming barriers of account activation and the concrete self-assessments by the patient (Remote-ALSFRS-R cohort). At each of the levels, an observation bias and limitations to the generalizability may have occurred. In the context of the first recruitment step, we cannot exclude the possibility of key demographic characteristics and results from ALSFRS-R rating deviating outside dedicated ALS centers. In terms of step two and three, several reasons for not recruiting must be differentiated: either this option was rejected (by patients) or not offered (by centers). In fact, some ALS centers offered digital remote ALSFRS-R assessment for most of their eligible patients while other study sites utilized this option for a small number of patients only (Figure 2). The generalizability of our results was furthermore limited by the diverging extent to which remote digital assessment was accessible from a patient's perspective. This primarily concerns the steps three and four of the recruitment ramifications. It can be assumed that people with less internet user



**Figure 2**. Frequency of ALSFRS-R ratings per year. Frequency of ALSFRS-R were obtained in the cohorts of clinical assessment of the ALSFRS-R (Clinic-ALSFRS-R) as compared to remote self-assessment using a computer (Co-ALSFRS-R) or mobile application (App-ALSFRS-R), or both. Significant differences were assessed by t-test. A *p*-value <0.05 was considered significant. Abbreviations: n: number of patients; ALSFRS-R: ALS Functional Ratings Scale Revised.

experience or higher physical barriers to digital applications are underrepresented. In this context it is worth mentioning that the Remote-ALSFRS-R cohort was significantly younger and represented more male patients. In previous reports on the perception of technology among ALS patients, a significantly higher general acceptance of technology and self-confidence on technological competence was found among male ALS patients. However, this gender difference vanished when patients were offered specific use cases of assistive technology that were perceived to be meaningful (26). Although our study did not provide data on the acceptance of digital assessments in relation to age or gender, it is however well conceivable that different efforts in terms of proposition, convincing and training will be required for the recruitment of various patient groups - including gender-sensitive elements of remote digital assessment. To address the issue of physical barriers and to improve access to remote rating, patients were offered to nominate deputies performing the ALSFRS-R assessment on their behalf. Interestingly, a smaller but quite relevant group of patients took up this option (36 patients). Fundamentally, these data underlined the readiness of patients to overcome physical barriers by delegation, if necessary.

During the observation period, 971 patients performed remote ALSFRS-R self-assessment. Given the multiple ramifications and limitations in the recruitment process (Figure 1), a realistic conclusion on the acceptance of remote digital assessment was not yet warranted. However, to our knowledge this is the largest remotely collected dataset in ALS patients demonstrating that a substantial group of patients was ready and capable for remote digital assessment on a significant scale. Thus, the remote rating is a valuable addition to clinic assessment, which is limited by the number of clinical visits. In terms of the absolute number of remote assessments, data capture via a computer had the greatest relevance. The patient group with use of the mobile app was rather small (n=234). This still limited number could be explained by the relatively recent introduction of the "ALS-App" in the middle of the observation period.

This study fell into the period of the beginning of the COVID-19 pandemic. Given the arrangements of reduced clinic visits and substitute telemedicine visits, it is conceivable that the pandemic accelerated remote digital assessment. However, any conclusions are premature as the impact of the pandemic situation was not covered in this study and will be subject of further investigation.

The main objective of the study was to explore the feasibility of remote assessment of ALSFRS-R in terms of readiness and capability of patients to use computers or mobile devices for data capture of this score. Beyond the number of patients opting for digital assessment, the frequency of remote rating was impressive. The frequency of Remote-ALSFRS-R assessments (9.6 per year) outperformed Clinic-ALSFRS-R assessments (4.1 per year). Moreover, the results met the expectations that mobile devices might lower the bars for remote assessments. In fact, the frequency of ALSFRS-R assessment using the mobile app was significantly higher as compared to ratings on a computer.

Given a mean loss of 0.8 ALSFRS-R points per month in the general ALS population, one remote assessment per month could be optimal for timely detection of disease progression (27). The results of this study demonstrated that remote assessment using a computer was close to reaching this aim (7.0 assessments per year). Remarkably, patients using the ALS-App had already reached this expectation (14.6 per year). In setting a target for remote ALSFRS-R assessment some caution is warranted as there is an ongoing discussion on the balance between the advantages of frequent data assessment and its potential burden to patients (28,29). Notwithstanding this open issue, the higher frequency of ratings via the ALS-App contributes to the notion that mobile apps may lower the threshold for frequent self-rating and should be studied more intensively.

The results of this observational study supported the concept that digital tools are suitable to increase the data density on ALSFRS-R during the patient's course of disease. Assuming an even distribution of the four measurements per year, one additional ALSFRS-R assessment per quarter could bridge the rather large gaps between clinic visits (commonly scheduled once a quarter). Although in this study no specific target number of assessments was given, 50% (n=951) of patients achieved a mean number of four assessments per year (Table 2). In future studies, the prospective evaluation of different assessment regimes (of minimum and optimum numbers of assessments per years) is of interest.

The remote assessment of the ALSFRS-R might be of interest for future remote monitoring of patients. In fact, several items of the ALSFRS-R (such as speech, swallowing or mobility-related items) may be suitable – in its distinctness and clinical relevance – to function as trigger points for algorithms of clinical decision support systems (30). Thus, in a longer-term scenario, remote digital assessment of the ALSFRS-R has the potential to be integrated in algorithms for decision-making processes in multidisciplinary ALS care.

ALS patients performing remote assessments showed a higher total score of ALSFRS-R compared to the clinic cohort (Table 3). This difference may be explained by a selection bias related to technical barriers as well as time efforts of using of digital and telemedicine devices (31-34). Furthermore, it is conceivable that patients in the earlier course of ALS were overrepresented as remote assessment may have received more attention in patients with newly diagnosed ALS. Furthermore, it cannot completely excluded that remote assessment may result in a different scoring compared to the clinical rating. However, based on previous reports methodological reasons are less likely and were not reexamined in this observational study. In contrast, patients who engaged deputies for remote assessment were in more progressed disease stages (mean ALSFRS-R = 20.4). These data suggest that delegation of ALSFRS-R rating may, in principle, result in an expansion of self-assessment to advanced stages of ALS. Conversely, the findings pointed to barriers for patients with lower motor functional capacities and underlined the need to reduce those hurdles in the

future. Future research must aim to apply patientcentred concepts of user experience design, as well as service engineering methods, to improve patient's access to digital assessment in all phases on the disease.

In summary, this observational study provided a proof of concept that remote digital assessment of ALSFRS-R is feasible - being applied as an "add on" to the clinical assessment of the ALSFRS-R that is broadly applied as part of the multidisciplinary care at dedicated ALS centers. This finding is relevant, as the systematic assessment of ALSFRS-R may fill the gap between clinic visits and will enhance the database on ALSFRS-R in a real-world setting of both, clinical research, and specialized care. The shown frequent use of remote digital assessments of ALSFRS-R represented an important step toward a digitally supported comprehensive care management that is based on precise and timely information on the patients' needs.

# Acknowledgements

The authors thank all the patients who gave their effort and valuable time to participate in this study. The authors wish to thank the Boris Canessa ALS Stiftung (Düsseldorf), and "Bremer ALS Stiftung" (Bremen), for co-funding this work and continuous support.

# Author contributions

SSP and TM designed and conceptualized the study, analyzed and interpreted the data, and drafted the manuscript for intellectual content. DK and AM had a major role in data acquisition, interpreted the data, and revised the manuscript for intellectual content. BW had a major role in data collection and preparation of data. TG, UW, RS, SP, PW, RG, PB, ES, JCK, MB, JW, JG, AR, BI, MM, JN, YC, PK, AR, BH, FS, and CM had a major role in data acquisition and revised the manuscript for intellectual content.

# **Declaration of interest statement**

TM and CM are founders and shareholders of the Ambulanzpartner Soziotechnologie APST GmbH, which makes the internet platform Ambulanzpartner and the mobile application "ALS-App".

TM received consultancy fees from Biogen, Mitsubishi Tanabe, Cytokinetics, Tilray and ITF Pharma.

SP reports grants from the German Neuromuscular Society and German Israeli Foundation for scientific research and development, and speaker or consultancy fees from Biogen, Roche, Cytokinetics, Desitin Pharma, Italfarmaco, and Novartis.

JCK reports a grant from the German Neuromuscular Society and personal consulting fees from Biogen and Roche.

SSP, TG, UW, RS, DK, PW, RG, PB, ES, MB, JW, JG, AR, BI, MM, JN, YK, PK, AR, BW, BH, FS, CM, and AM reports no disclosure.

#### Funding

This work was co-funded by the Boris Canessa ALS Stiftung (Düsseldorf) and "Bremer ALS Stiftung" (Bremen). The project was supported by the BMBF [grant number 02K16C220].

#### Data availability statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

#### References

- Cedarbaum JM, Stambler N, Malta E, Fuller C, Hilt D, Thurmond B, et al. The ALSFRS-R: a revised ALS functional rating scale that incorporates assessments of respiratory function. BDNF ALS study group (phase III). J Neurol Sci. 1999;169:13–21.
- Voustianiouk A, Seidel G, Panchal J, Sivak M, Czaplinski A, Yen A, et al. ALSFRS and appel ALS scores: discordance with disease progression. Muscle Nerve. 2008; 37:668–72.
- Group TACTSAPI-IS. The amyotrophic lateral sclerosis functional rating scale. assessment of activities of daily living in patients with amyotrophic lateral sclerosis. Arch Neurol 1996;53:141–7.
- Kaufmann P, Levy G, Montes J, Buchsbaum R, Barsdorf AI, Battista V, et al. Excellent inter-rater, intra-rater, and telephone-administered reliability of the ALSFRS-R in a multicentre clinical trial. Amyotroph Lateral Scler. 2007;8: 42–6.
- Cedarbaum JM, Stambler N. Performance of the amyotrophic lateral sclerosis functional rating scale (ALSFRS) in multicentre clinical trials. J Neurol Sci. 1997;152: S1–S9.
- Mannino M, Cellura E, Grimaldi G, Volanti P, Piccoli F, La Bella V. Telephone follow-up for patients with amyotrophic lateral sclerosis. Eur J Neurol. 2007;14:79–84.
- Maier A, Holm T, Wicks P, Steinfurth L, Linke P, Münch C, et al. Online assessment of ALS functional rating scale compares well to in-clinic evaluation: a prospective trial. Amyotroph Lateral Scler. 2012;13:210–6.
- Hobson E, Baird W, Bradburn M, Cooper C, Mawson S, Quinn A, et al. Process evaluation and exploration of telehealth in motor neuron disease in a UK specialist centre. BMJ Open. 2019;9:e028526.
- Hobson E, Baird W, Bradburn M, Cooper C, Mawson S, Quinn A, et al. Using telehealth in motor neuron disease to increase access to specialist multidisciplinary care: a UK-based pilot and feasibility study. BMJ Open. 2019; Oct 229:e028525.
- Helleman J, Kruitwagen ET, van den Berg LH, Visser-Meily JMA, Beelen A. The current use of telehealth in ALS care and the barriers to and facilitators of

implementation: a systematic review. Amyotroph Lateral Scler Frontotemporal Degener. 2020;21:167–82.

- Montes J, Levy G, Albert S, Kaufmann P, Buchsbaum R, Gordon PH, et al. Development and evaluation of a selfadministered version of the ALSFRS-R. Neurology 2006; 67:1294–6.
- Kasarskis EJ, Dempsey-Hall L, Thompson MM, Luu LC, Mendiondo M, Kryscio R. Rating the severity of ALS by caregivers over the telephone using the ALSFRS-R. Amyotroph Lateral Scler Other Motor Neuron Disord. 2005;6:50–4.
- Miano B, Stoddard GJ, Davis S, Bromberg MB. Interevaluator reliability of the ALS functional rating scale. Amyotroph Lateral Scler Other Motor Neuron Disord. 2004;5:235–9.
- Bakker LA, Schröder CD, Tan HHG, Vugts S, van Eijk RPA, van Es MA, et al. Development and assessment of the inter-rater and intra-rater reproducibility of a selfadministration version of the ALSFRS-R. J Neurol Neurosurg Psychiatry. 2020;91:75–81.
- Chew S, Burke KM, Collins E, Church R, Paganoni S, Nicholson K, et al. Patient reported outcomes in ALS: characteristics of the self-entry ALS functional rating scale-revised and the activities-specific balance confidence scale. Amyotroph Lateral Scler Frontotemporal Degener 2021;22(7–8):1–11.
- Berry JD, Paganoni S, Carlson K, Burke K, Weber H, Staples P, et al. Design and results of a smartphone-based digital phenotyping study to quantify ALS progression. Ann Clin Transl Neurol. 2019;6:873–81.
- Rutkove SB, Qi K, Shelton K, Liss J, Berisha V, Shefner JM. ALS longitudinal studies with frequent data collection at home: study design and baseline data. Amyotroph Lateral Scler Frontotemporal Degener. 2019;20:61–7.
- Vandenbroucke JP, von Elm E, Altman DG, Gøtzsche PC, Mulrow CD, Pocock SJ, et al. Strengthening the reporting of observational studies in epidemiology (STROBE): explanation and elaboration. Ann Intern Med. 2007;147:W163–94.
- von Elm E, Altman DG, Egger M, Pocock SJ, Gøtzsche PC, Vandenbroucke JP. The strengthening the reporting of observational studies in epidemiology (STROBE) statement: guidelines for reporting observational studies. Lancet. 2007;370:1453–7.
- Brooks BR, Miller RG, Swash M, Munsat TL. El Escorial revisited: revised criteria for the diagnosis of amyotrophic lateral sclerosis. Amyotroph Lateral Scler Other Motor Neuron Disord. 2000;1:293–9.
- Meyer T, Kettemann D, Maier A, Grehl T, Weyen U, Grosskreutz J, et al. Symptomatic pharmacotherapy in ALS: data analysis from a platform-based medication management programme. J Neurol Neurosurg Psychiatry. 2020;91:783–5.
- 22. Funke A, Spittel S, Grehl T, Grosskreutz J, Kettemann D, Petri S, et al. Provision of assistive technology devices among people with ALS in Germany: a platform-case management approach. Amyotroph Lateral Scler Frontotemporal Degener. 2018;19:342–50.
- 23. Meyer T, Funke A, Münch C, Kettemann D, Maier A, Walter B, et al. Real world experience of patients with amyotrophic lateral sclerosis (ALS) in the treatment of spasticity using tetrahydrocannabinol:cannabidiol (THC:CBD). BMC Neurol. 2019;19:222.
- Balendra R, Jones A, Jivraj N, Knights C, Ellis CM, Burman R, et al. Estimating clinical stage of amyotrophic lateral sclerosis from the ALS functional rating scale. Amyotroph Lateral Scler Frontotemporal Degener. 2014; 15:279–84.
- 25. Abdulla S, Vielhaber S, Körner S, Machts J, Heinze HJ, Dengler R, et al. Validation of the German version of the

extended ALS functional rating scale as a patient-reported outcome measure. J Neurol. 2013;260:2242–55.

- 26. Maier A, Eicher C, Kiselev J, Klebbe R, Greuèl M, Kettemann D, et al. Acceptance of enhanced robotic assistance systems in people with amyotrophic lateral sclerosis-associated motor impairment: observational online study. JMIR Rehabil Assist Technol. 2021;8:e18972.
- Westeneng HJ, Debray TPA, Visser AE, van Eijk RPA, Rooney JPK, Calvo A, et al. Prognosis for patients with amyotrophic lateral sclerosis: development and validation of a personalised prediction model. Lancet Neurol. 2018; 17:423–33.
- Rutkove SB, Narayanaswami P, Berisha V, Liss J, Hahn S, Shelton K, et al. Improved ALS clinical trials through frequent at-home self-assessment: a proof of concept study. Ann Clin Transl Neurol. 2020;7:1148–57.
- van Eijk RPA. Frequent self-assessments in ALS clinical trials: worthwhile or an unnecessary burden for patients? Ann Clin Transl Neurol. 2020;7:2074–5.
- Baxter S, McDermott CJ. Decision-making and referral processes for patients with motor neurone disease: a qualitative study of GP experiences and evaluation of a

new decision-support tool. BMC Health Serv Res. 2017; 17:339.

- Hobson EV, Baird WO, Partridge R, Cooper CL, Mawson S, Quinn A, et al. The TiM system: developing a novel telehealth service to improve access to specialist care in motor neurone disease using user-centered design. Amyotroph Lateral Scler Frontotemporal Degener. 2018; 19:351–61.
- 32. Garcia-Gancedo L, Kelly ML, Lavrov A, Parr J, Hart R, Marsden R, et al. Objectively monitoring amyotrophic lateral sclerosis patient symptoms during clinical trials with sensors: observational study. JMIR Mhealth Uhealth. 2019;7:e13433.
- 33. Beukenhorst AL, Burke KM, Scheier Z, Miller TM, Paganoni S, Keegan M, et al. Using smartphones to reduce research burden in a neurodegenerative population and assessing participant adherence: a randomized clinical trial and two observational studies. JMIR Mhealth Uhealth. 2022;10:e31877.
- van Eijk RPA, Beelen A, Kruitwagen ET, Murray D, Radakovic R, Hobson E, et al. A road map for remote digital health technology for motor neuron disease. J Med Internet Res. 2021;23:e28766.